Index

A

absenteeism, 198, 202 active listening skills, 220-221 ADA. See Americans with Disabilities Act (ADA) ADEA (Age Discrimination in Employment Act), 55 advertising, recruiting workers, 83-85 Age Discrimination in Employment Act (ADEA), 55, 57 AgHelpWanted.org, 4 Albemarle Paper Company versus Moody, 185 alcohol abuse, 198, 202, 205-207 Americans with Disabilities Act (ADA), 55, 57, 90 job descriptions and, 77 reasonable accommodations, 146 See also federal laws and regulations appeals process, disciplinary actions, 208-209 appraisal systems. See assessing employee performance assessing employee performance, 177-189 elements of performance appraisal process, 178-179 employee's view of good appraisal, 180 employer's view of good appraisal, 180 legal issues and appraisal instruments, 184-185 measurement options, 183-184 structuring appraisal systems, 181-184 types of appraisal instruments, 187-189 validity and reliability of appraisal instruments, 185-187 value of appraisal, 179-181 when to appraise, 183 who appraises, 182-183 assessing job applicants, 86-99 discrimination and, 89-90 information-gathering steps, 89 interviews, 94-97 matrix format, 87-88 preliminary interview, 90-91 reference checking, 97, 99 tests, 92-94 written applications and resumes, 91-92 assumptions about human nature and work, 20-21 "at-will" employment, discharge of employee and, 199 auditory learners, 151

B

Banner, John and Kelly, 8 BARS (behaviorally anchored rating scales), 188-189 Bear Creek Corp., 9-10, 196-197 behaviorally anchored rating scales (BARS), 188-189 Bell's Nurseries Ltd., 5-6 benefits, 172-177 assessing the benefits package, 172 business objectives and, 174-177 health care insurance, 173 benefits (*continued*) housing, 173-174 workers' compensation insurance, 173
bias in hiring interviews, 94, 95 *See also* discrimination
bias in performance appraisal, 186-187
Blanchard, Kenneth, 127
Blue Boulder Farms, 116
body language, 225-226
bonus plans and pay, 168
Bork, David, 51
Branham, Leigh, 113 *Brito* versus *Zia Company*, 185
Bubba Hart Shrimp, 174-177
business plans, 31, 32

С

Carlisle Nursery, 167 case studies benefits at shrimp business, 175-176 communicating company policies, 196-197 greenhouse worker duties, 87 job ads, 83, 84-85 job applicant assessment, 100 new supervisors at orchard, 136, 137 personnel decisions hurt employer, 24-26 piecework pay, 167, 169 planning for growth of dairy, 45-50 ranch hand and motivation, 158 supervisor's duties, 116 supervisor's problems in nursery, 108 taking stock of dairy business, 33 See also ideas in practice central tendency bias in performance appraisal, 186 checklist methods for performance appraisal, 188 checklists and worksheets goal setting, 37 labor estimate worksheets, 47-50 Chilcott Dairy, 13 child labor laws, 55-56 Civil Rights Act, 55, 57, 207 Civil Service Reform Act, 185 coaching, advantages and disadvantages, 129 code of conduct for employees, 198, 204 coercive power, 133-134 communication skills approaches to confrontation, 229-230 body language, 225-226 criticism, giving and receiving, 226-227 cultural and language differences, 231-235 goal-setting process and, 11-12 "I" messages, 223-224

communication skills (continued) interpersonal communications overview, 219-220 listening skills, 220-221 personal advice, 228 problem solving and, 191, 227-228 "red flag" words and phrases, 224 speaking skills, 222-224 and supervisors, 8 types of responses to performance problems, 210-214 comparative techniques for performance appraisal, 187-188 compliance information, federal laws, 56-58 conduct code for employees, 198, 204 conflict assessing problems, 216 with employees as a risk, 23 management styles, 216-217 managing, 215-218 sample conflict resolution handling, 218 contractors. See farm labor contractors (FLCs) contrast error and performance appraisal, 187 Cooper, Dennis, 41 cowboy comments on motivation, 158 crew foreman. See supervisors crew leaders. See farm labor contractors (FLCs) critical incident technique for performance appraisal, 188 criticism, giving and receiving, 226-227 cultural differences, communication and, 231-233

D

Daft, Richard, 27-28 dairy business, 13, 33, 45-50 decision-making styles for supervision, 122-129 employee attributes and, 126 employee participation in, 123-125 manager's attributes and, 125-126 situational issues and, 127-129 delegation of work by supervisor, 115-120 advantages and disadvantages, 129 decision-making process for, 118-119 personnel development through, 119-120 process steps, 121 when not to delegate, 115-117 Department of Labor. See U.S. Dept. of Labor (DOL) differences in pay, 163-165 directing, advantages and disadvantages, 128-129 discharge of employee, 209 "at-will" employment and, 199 exit interview, 236-237 disciplinary issues, 198-218 appeals process, 208-209 code of conduct for employees, 198, 204 discharging employee, 209 documenting steps taken, 201 policy elements, 199-201 responses to problems, 210-215 sample policy, 204

disciplinary issues (continued) sequence of disciplinary steps, 202 sexual harassment, 207-208 substance abuse, 205-207 unacceptable performance examples, 202 written record of incident, 201 disclosure requirements for job offers, 101 discrimination assessing job applicants and, 89-90 unlawful discrimination, 54-55 diversification of business, 7 DOL. See U.S. Dept. of Labor (DOL) DOT. See U.S. Dept. of Transportation (DOT) Drucker, Peter, 31, 131 drug abuse, 198, 202, 205-207 Drug-free Workplace Advisor, 206 drug testing, DOT regulations, 56

E

education workplace learning program, 9-10 See also training of employees EEOA. See Equal Employment Opportunity Act (EEOA) EEOC. See U.S. Equal Employment Opportunity Commission (EEOC) emotional intelligence (EI), 27, 28 leadership and, 132 employee handbooks, 193-195 sample contents of, 195 employee performance, 145-189 assessing performance, 177-189 benefits and, 172-177 enabling high performance, 146-147 high performance cycle, 147 motivation and work, 152-158 pay and, 159-171 poor performance, causes of, 146 training and, 148-152 employee turnover, low rate of, 13 English, using simple forms of, 233-234 "environmental intelligence," 27 EPA. See U.S. Environmental Protection Agency (EPA) Equal Employment Opportunity Act (EEOA), 90 Equal Employment Opportunity Commission. See U.S. Equal Employment Opportunity Commission (EEOC) Equal Pay Act, 55, 57 ergonomic principles, 42 essay appraisal form, 188 esteem, 153-154 exit interview, 236-237 Expectancy Theory and motivation, 156-157 expenses. See indirect-labor expenses experience is valued, Bell's Nurseries example, 5-6 expert power, 134 external equity and pay, 162-163

F

Fair Labor Standards Act (FLSA), 170-171 information about, 59 family businesses communication styles and, 229-230 Inaba Farms, 7 Lindermeier farm, 11-12 qualities of successful businesses, 51 special planning issues and, 51-53 Farmer's Tax Guide, 54, 171 Farm Labor Contractor Safety and Health Guide, 58 farm labor contractors (FLCs), 62-65 legal issues, 62-64 liability of grower and, 63 selection of, 64-65 favoritism and performance appraisal, 187 federal laws and regulations compliance information, 57-58 federal agency resources, 59-60 health and safety, 55-56 IRS and taxes, 54 unlawful discrimination, 54-55 wages, 170-171 See also legal issues; specific laws by name field sanitation, 56 firing of employee. See discharge of employee FLCs. See farm labor contractors (FLCs) FLSA. See Fair Labor Standards Act (FLSA) forced distribution methods for performance appraisal, 188 Force for Change: How Leadership Differs from Management, 130-131 foreman. See supervisors Form I-9, 59, 90 fringe benefits. See benefits

G

GAO (General Accounting Office), 60 Gardner, John, 131 General Accounting Office, 60 goals checklist for, 37 examples of, 38 goal-setting process, 11-12, 36-37 SMAC criteria, 36 as targets for success, 35-36 Goleman, Daniel, 27, 132-133 graphic rating scale for performance appraisal, 187 greenhouse worker job duties, 97 group output pay plans, 168 "guidelines" as used in this book, 4

Η

H-2A visa program information about, 59 payroll taxes and, 54 H-2A visa program (continued) recruiting workers and, 86 halo effect interviewing applicants and, 94 job promotion example, 136 and performance appraisal, 186 harshness bias in performance appraisal, 186 health and safety regulations, 55-56 health care insurance, 173 Hershey, Paul, 127 Herzberg, F., 154-155 hierarchy of needs (Maslow), 153-154 High performance cycle, 147 hiring process procedures, 74-75 See also staffing issues housing as a benefit, 173-174 human nature and work, managers' theories about, 20-21 human resource management decisions, 24 See also management; personnel management Human Side of Enterprise (McGregor), 20-21

I

I-9 Form, 59, 90 ideas in practice, 4 communication skills and supervisors, 8 diversification of business, 7 employee turnover rate is low, 13 experience is valued, 5-6 goal-setting process, 11-12 workplace learning program, 9-10 See also case studies "I" messages, 223-224 Immigration and Naturalization Services, resources of, 59 Immigration Reform and Control Act, 90 Inaba Farms, 7 incentive pay plans, 165-168 independent contractor status, 63-64 indirect-labor expenses, as risk, 22 influence, and leadership, 131-134 information-gathering steps, job applicant evaluation, 89 INS. See Immigration and Naturalization Services internal equity and pay, 162 internal recruitment policy sample, 72 Internal Revenue Service, 60 Farmer's Tax Guide, 54, 171 publications on employment taxes, 171 interpersonal communications, 219-220 See also communication skills interviews of job applicants preliminary interview, 90-91, 94 questions listed, 98 sample of, 96 structuring interviews, 95 tips for successful communication, 96-97 intoxication. See substance abuse IRS. See Internal Revenue Service

J

job analysis, 80 See also job descriptions job applications, 91-92 job descriptions, 75-82 elements of, 76 sample of, 82 supervisory position sample, 111-112 value of, 77-80 writing of, 80-91 "jobless" organizations and job descriptions, 81 job offers, 101 joint employer status, test for, 63-64 joint liability, grower and FLC, 63-64

K

Keeping the People Who Keep You in Business (Branham), 113 Kilmann, Ralph, 216 kinesthetic learners, 151 Kotter, John, 130-131 Kouzes, J.M., 132

L

labor availability, as risk, 22 labor camps, 173-174 labor management goal examples, 38 labor estimate worksheets, 47-50 needs assessment, 43-44 language differences, communication and, 233-235 late arrival of employee, 198, 199, 202 laws and regulations. See federal laws and regulations; state laws and regulations layoff and recall priorities, 73-74 leadership decision-making styles and, 123-125 essential activities of, 132 and influence, 131-134 and management, 130-131 as management function, 17 styles of, 132-133 The Leadership Challenge, 132 learning. See education learning styles and training, 151 legal issues avoiding problems by managing for compliance, 24-27 compliance information, 56-58 farm labor contractors (FLCs), 62-64 health and safety rules, 55-56 legal problems as risk, 23 operating within the law, 53-54 and performance appraisal instruments, 184-185

legal issues (continued) taxes, 54 unlawful discrimination, 54-55 wage issue violations, examples of, 24-25 wages, 170-171 See also federal laws and regulations; state laws and regulations
legitimate power, 134
leniency bias in performance appraisal, 186
Lindermeier family farm, 11-12
listening skills, 220-221

Μ

management assessing capacity for, 44 essential skills for managers, 27-28 functions of, 17-18 goal-directed activity, 35-36 and leadership, 130-131 for legal compliance, 24-27 managerial roles, 18 managers' assumptions about people, 20-21 professional development for managers, 28-29 resources for, 29 risk recognition and management, 21-23 roles and responsibilities, 15-29 and supervisors, 139-141 and teamwork support, 141-143 theory of, 19-21 See also personnel management; staffing issues Managers' Theories of Management (Miles), 19 Maslow, Abraham, 153-154 McGregor, Douglas, 20-21 medical insurance, 173 merit systems and pay, 163-164 Migrant and Seasonal Agricultural Worker Protection Act, 54, 57 disclosure requirements for job offers, 101 housing requirements of, 173 information about, 59 Miles, Raymond, 19 minimum wage provisions, 170 Mintzberg, Henry, 18 mission statement, 34-35 motivation, 152-158 employees' concerns and, 155 Expectancy Theory and, 156-157 job attributes and, 42 needs-based views of, 153-156 rewards from work and, 157 Motivation-Hygiene Theory (Herzberg), 154-156 MSAWP. See Migrant and Seasonal Agricultural Worker Protection Act

N

National Institute for Occupational Safety and Health, Simple Solutions: Ergonomics for Farm Workers, 42 Nature of Managerial Work (Mintzberg), 18 needs-based views of motivation, 153-156 non-wage expenses. See indirect-labor expenses Nuñez Dairy, planning for growth, 45-50 nursery business, 5-6, 8, 84-85, 108, 167

0

Occupational Safety and Health Act (OSHA), 55, 57 Occupational Safety and Health Administration (OHSA) housing rules, 173-174 resources of, 57-58, 59 On Leadership, 131 orchard business, 9-10 organizational chart, 40 organizational planning, 31-65 business plans and, 31, 32 ergonomic principles, 42 family businesses and, 51-53 farm labor contractors (FLCs), 62-65 goals as targets for success, 35-36 goal-setting process, 11-12, 36-37 jobs in agriculture and, 40-42 labor needs assessment, 43-44 legal issues, 53-61 as management function, 17 managerial capacity assessment, 44 mission statement, 34-35 organizational structure, 39-40 personnel records, 65 taking stock of the business, 31-33 organizational structure, 39-40 supervisors and, 108-109 orientation of new workers, 103-105 communicating company policies, 196-197 See also training of employees OSHA. See Occupational Safety and Health Act; Occupational Safety and Health Administration output-based pay plans, 165-168 overtime pay, 170

P

paired comparison methods for performance appraisal, 188 paraphrasing statements, 221 participative decision making, 123-125 pay benefits as percentage of total pay, 172 changes in the pay system, 169-170 choices managers make about pay, 160-161 comparison of pay rates at three farms, 164-165 differences in pay, 163-165 and employee performance, 159-171 incentive pay plans, 165-168 legal requirements, 170-171

pay (continued) study about, 159-160 time-based pay structures, 161-165 wage structures, 161-165 payroll taxes publication about, 57 requirements and recordkeeping, 54 withholding requirements, 171 performance management. See employee performance personality tests, 93 personnel management decisions and outcomes involved in, 24 goal examples, 38 personnel records, 65 policies and procedures in employee handbooks, 193-195 resources for, 29 See also management; staffing issues pesticide use, EPA and, 56 physiological need, 153-154 piecework pay, 166-167, 169 planning. See organizational planning policies and procedures, in employee handbooks, 193-195 Posner, B.Z., 132 power types and supervisors, 133-135 predictive nature of worker selection, 73 preliminary interview, job applicants, 90-91 problem solving, communication skills, 191, 227-228 process theories and motivation, 156-157 productivity. See employee performance progressive delegation, 120 progressive discipline, 201-202 See also disciplinary issues promotional only jobs, 72 promotion example, problems with, 136-138 Public Agenda Foundation study, 159-160

Q

quality of work, as risk, 22

R

ranking methods for performance appraisal, 188 reasonable accommodations, 146 recency error and performance appraisal, 187 recordkeeping disciplinary issues, 201 payroll taxes, 54 wage and tax requirements, 170-171 recruiting workers, 83-85 "red flag" words and phrases, 224 reference checking, job applicants, 97, 99 referent power, 134 reframing statements, 221 rejecting job applicants, 101-102 rejection letter sample, 102 reliability and validity of appraisal instruments, 185-187 repetitive stress injuries. *See* work-related musculoskeletal disorders (WMSDs) resumes, 91-92 reward power, 133-134 rewards from work and motivation, 157 risk recognition and management, 21-23 Rosa Orchards, 136, 137 RSI. *See* work-related musculo-skeletal disorders (WMSDs)

S

safety. See health and safety regulations safety need, 153-154 sanitation, 56 seasonal employment, offering more season-long employment, 7 selection of workers. See staffing issues self-actualization, 153-154 self-appraisal, 182 sexual harassment, 56, 207-208 Shapley, Allen, 15 sharing plans and pay, 168 short-term goals, SMAC criteria, 36 shrimp business, 174-177 similarity bias and performance appraisal, 186-187 Simple Solutions: Ergonomics for Farm Workers, 42 sleeping on the job, 198, 202 SMAC criteria, for short-term goals, 36 Smith, Terry, 32 Smithers' dairy, 33 smoking on the job, 198, 202 social need, 153-154 speaking skills, 222-224 See also communication skills staffing issues adding more people, reasons for, 16 assessing applicants, 86-99 decisions about applicants, 99-102 H-2A visa program, 86 hiring process procedures, 74-75 internal recruitment policy sample, 72 job descriptions, 75-82 layoff and recall priorities, 73-74 as management function, 17 offering the job, 101 orientation of new workers, 103-105 overview, 67-68 predictive nature of worker selection, 73 promotional only jobs, 72 recruiting workers, 83-85 rejecting applicants, 101-102 selection approaches, 70-71 selection of capable workers, 69-74 staff meetings, 235-236 standardized simulation tests, 93

state laws and regulations employment law resources, 61 health and safety, 55-56 unlawful discrimination, 54-55 wages, 170-171 See also legal issues Stat-USA, 60 substance abuse, 198, 202, 205-207 Summary of Federal Laws and Regulation Affecting Agricultural Workers, 57 supervisors as appraisers of employees, 182 assigning work, 115-121 common problem in management of, 139 communication skills as qualification for, 8 decision-making styles, 122-129 employees as, 107-114 job description sample, 111-112 leadership and, 130-133 management and supervisors, 139-141 managing supervisory work, 135-138 power and, 133-135 qualities of a good supervisor, 113-114 role of, 108-110 teamwork support, 141-143 tips for success as, 114 supporting style, advantages and disadvantages, 129 systematic approach to worker selection, 71

Т

tactual learners, 151 taxes Farmer's Tax Guide, 54 withholding requirements, 171 teamwork support, 141-143 stages in development, 142 supervisors and, 142-143 "technical intelligence," 27 testing job applicants, 92-94 Theory X and Theory Y, 20-21 Thomas, Kenneth, 216 time-based pay structures, 161-165 differences in pay, 163-165 external equity, 162-163 internal equity, 162 training of employees, 148-152 design principles for training, 150 methods of, 149-151 need for, 148-149 See also education; orientation of new workers turnover of employees, low rate of, 13

U

- unlawful discrimination, 54-55 assessing job applicants and, 89-90
 U.S. Dept. of Agriculture (USDA), 57, 60 joint-employment criteria, 63-64
 U.S. Dept. of Labor (DOL), 60 Drug-free Workplace Advisor, 206 H-2A program and, 86 resources of, 58, 59
 U.S. Dept. of Transportation (DOT) regulations, 56 resources of, 60
 U.S. Environmental Protection Agency (EPA), 56, 59
- U.S. Equal Employment Opportunity Commission (EEOC), 54 performance measures and, 185 resources of, 60
- U.S. Social Security Administration, resources of, 59-60

V

vacation time. *See* benefits validity and reliability of appraisal instruments, 185-187 visa program. *See* H-2A visa program visual learners, 151

W

wage issue violations, examples of, 24-25 wages. See pay wage structures, 161-165 warnings and discipline, 201, 202, 210-211 website, AgHelpWanted.org, 4 Western states employment law resources, 61 See also state laws and regulations withholding tax requirements. See payroll taxes WMSDs (work-related musculo-skeletal disorders), 42 worker productivity. See employee performance Worker Protection Standard for Agricultural Pesticides, 56 workers' compensation insurance, 173 workers' compensation laws, 55 work-related musculo-skeletal disorders (WMSDs), 42 worksheets. See checklists and worksheets written documents employee handbooks, 193-195 importance of, 192 warning and discipline, 201, 202, 210-211

Z

Zaleznik, Abraham, 131

Indexing by Nancy Mulvany, Bayside Indexing Service (www.bayside-indexing.com)